PTO/SB/21 (08-03)

3 2004		U.S. Paten	t and Tradema	irk Office; U.S. D	gh 07/31/2006. OMB 0651-0031 DEPARTMENT OF COMMERCE	
Older the Paperwork Reduction Act of 1995.	no person:	s are required to respond to a collection Application Number	10/709,1		avs a valid OMB control number.	
TRANSMITTAL		Filing Date	4/15/200			
FORM		First Named Inventor	Velsher	/		
(to be used for all correspondence after initial	filina)	Art Unit		Assigned		
(to be used for all correspondence and military	g)	Examiner Name	 	Not Yet Assigned		
(Not Including Cited Reference(s))		Attorney Docket Number	 	ot Yet Assigned		
Total Number of Pages in This Submission	4	Allomey bocket Number	VIA-001			
	ENCI	LOSURES (Check all that	apply)			
Fee Transmittal Form		Drawing(s)		to Group	ance communication mmunication to Board	
Fee Attached Amendment/Reply		Licensing-related Papers Petition		of Appeals and Interferences Appeal Communication to Grou (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		Proprietary	Information	
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer		Status Lett	er	
I — ` '				Other Encl	osure(s) (please	
Extension of Time Request				Identify bel	·	
Express Abandonment Request	 	References (1449 (1 pg.); Copies of C1-C2; and Return Receipt		
Information Disclosure Statement	CD, Number of CD(s)		Postcard.			
Certified Copy of Priority Document(s)	Remar	ks				
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNA	TURE C	F APPLICANT, ATTORNI	EY, OR A	GENT		
Firm or Individual name Kurt Rayschenbach	า					
Signature Signature	'//					
I'm Garrolle	<u>M</u>					
Date April 29, 2004				_		
CI	ERTIFIC	ATE OF TRANSMISSION	/MAILING	i		
I hereby certify that this correspondence is be sufficient postage as first class mail in an entitle date shown below.						
Typed or printed name Kurt Rausch	enbach	,				
Signature Kul C	aun	lend	_	Date	April 29, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Velsher

SERIAL NO.:

10/709,135

GROUP NO.:

Not Yet Assigned

FILING DATE:

April 15, 2004

EXAMINER:

Not Yet Assigned

TITLE:

OPTICAL MODULE WITH MULTIPLE OPTICAL SOURCES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copy of the reference is enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

\boxtimes	(1)	within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or
	(2)	after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance , and
		the requisite Statement is below, OR
		the requisite fee under Rule 1.17(p), namely \$180.00, is included herein, or
	(3)	after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, AND
		Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, AND
		the requisite Statement is below. AND

Information Disclosure Statement

Serial No.: 10/709,135

Page 2 of 2

the requisite petition fee due under Rule 1.17(i)(I), namely \$130.00 is included herein.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

STATEMENT

As required under §1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- 1. [E]ach item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application **not more than** three months prior to the filing date of the Information Disclosure Statement; or
- 2. [N]o item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 501211. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

Date: April 29, 2004 Reg. No. 40,137

Tel. No.: (781) 271-1503 Fax No.: (781) 271-1527 Kurt Rauschenbach

Atty/Agent for Applicant(s)

Rauschenbach Patent Law Group, LLC

Post Office Box 387 Bedford, MA 01730





The "RECEIVED" stamp of the U.S. Patent and Trademark Office imprinted hereon acknowledges the filing of:

Supplemental Information Disclosure Statement (2 pgs.); Form PTO-1449 (1 pg.); Copies of References Cited (C1-C2); Transmittal Form with Certificate of First Class Mailing (1 pg.); and Return Receipt Postcard.

Applicants: Velsher
Serial No.: 10/709,135
Filed: April 15, 2004

Docket No.: VIA-001

Attorney: Kurt Rauschenbach

Date Mailed: April 29, 2004

PTO/SB/08b (08-03) Approved for use through 06/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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stitute for form 1449B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/709,135			
Filing Date	4/15/2004			
First Named Inventor	Velsher			
Art Unit	Not Yet Assigned			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	VIA-001			

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials* Cite		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	Cl	Optoelectronic Assembly and Packaging Technology, May 2003, Pages iv-48, IPC, Northbrook, IL, US.			
	C2	VELSHER, High-Speed Optoelectronic Packaging, 2002 GaAs IC Symposium, October 20-23, 2001, Pgs. 1-56, Kyocera America Inc., Monterey, California, US.			
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Examiner	Date	
Signature	 Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.